Instruction 1(b)

1

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 193/

OMB APPROVAL

OMB Number:	OMB Number: 3235-0287					
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			or Section 30(h) of the Investment Company Act of 1940		
1. Name and Address of Reporting Person <sup>*</sup> Hull Anthony E			2. Issuer Name and Ticker or Trading Symbol <u>CARROLS RESTAURANT GROUP</u> , <u>INC.</u> [ TAST ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below)	
(Last) (First) (Middle) C/O CARROLS RESTAURANT GROUP, INC., 968 JAMES STREET (Street) SYRACUSE NY 13203 (City) (State) (Zip)			3. Date of Earliest Transaction (Month/Day/Year) 10/05/2021	VP, CFO, Treasurer	
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transa Code ( 8)		Disposed Of	Disposed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported	(D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock, \$0.01 par value	10/05/2021	Α		3,196	<b>A</b> <sup>(1)</sup>	<b>\$0</b> <sup>(1)</sup>	369,853	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 3. Transaction 5. Number Conversion Date Transaction (Month/Day/Year) Derivative Security or Exercise if any (Month/Day/Year) Code (Instr. (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired Ownership (Instr. 4) (Instr. 3) 8) Underlying (Instr. 5) Beneficially Direct (D) Owned or Indirect Derivative (I) (Instr. 4) Security (A) or Disposed Security (Instr. 3 and 4) Following Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Expiration of Shares v (D) Code (A) Exercisable Title Date

## Explanation of Responses:

1. Represents restricted stock units issued to the Reporting Person under the Issuer's 2016 Stock Incentive Plan, as amended, as dividend equivalents in connection with a special cash dividend in the amount of \$0.41 per share paid by the issuer on October 5, 2021. The restricted stock units will be subject to the same terms and conditions, including, without limitation, vesting, as the underlying restricted stock units previously issued to the Reporting Person.

### **Remarks:**

/s/ Anthony E. Hull

10/06/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.