Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Washington, [	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number: 3235-0287							
Estimated average burden							
hours per response: 0							

					or Se	ection 3	30(h) o	the Ir	ivestmei	nt Cor	npany Act o	of 1940							
Name and Address of Reporting Person*     DiGenova Gerald J				2. Issuer Name and Ticker or Trading Symbol CARROLS RESTAURANT GROUP, INC. [ TAST ]								5. Relationship of Reportin (Check all applicable) Director X Officer (give title				ng Person(s) to Issuer  10% Owner Other (specify			
(Last) (First) (Middle) C/O CARROLS RESTAURANT GROUP, INC. 968 JAMES STREET					3. Date of Earliest Transaction (Month/Day/Year) 03/01/2021									VP, Human Resources					
(Street) SYRAC			3203 Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person											on			
		Table	I - Nor	n-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	, or E	Benefic	ially O	wned				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da				Execut ay/Year) if any		2A. Deemed Execution Date, if any (Month/Day/Year)				ies Acquired (A Of (D) (Instr. 3,		and Se Be Ov	curities eneficially	curities neficially ned Following		Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) (D)	or Pric	_   Tr	Transaction(s) (Instr. 3 and 4)				(111501. 4)
Common Stock, \$0.01 par value 03/01/2					2021		A		5,217	A <sup>(</sup>	(1) \$(	)(1)	201,618		D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any			Transaction of Code (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)			Amou Secur Under Deriva Secur			tive der ty Sec 5) Ber Ow Fol Rep Tra	/e derivative Securities		0. Ownership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## **Explanation of Responses:**

1. The restricted stock units were granted to the Reporting Person pursuant to the Issuer's Executive Bonus Plan and 2016 Stock Incentive Plan, and represent a portion of the Reporting Person's incentive bonus earned for 2020. The restricted stock units can only be settled in shares of the Issuer's common stock and therefore are reported in Table 1. The restricted stock units vest in equal installments over 3

Date Exercisable

## Remarks:

/s/ Gerald J. DiGenova

03/17/2021

\*\* Signature of Reporting Person

of Shares

Title

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.