SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response	: 0.5								

to Section 16.	k if no longer subje Form 4 or Form 5 by continue. <i>See</i>).	_	NT OF CHANGES IN BENEFICIAL OWN d pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	_	OMB Number: Estimated average b hours per response:	3235-0287 urden 0.5
1. Name and Add <u>Cross Richa</u> (Last) C/O CARROL 968 JAMES S	(First) S RESTAUR	g Person* (Middle) ANT GROUP, INC.	2. Issuer Name and Ticker or Trading Symbol <u>CARROLS RESTAURANT GROUP</u> , <u>INC.</u> [TAST] 3. Date of Earliest Transaction (Month/Day/Year) 01/15/2022	(Check all applicate Director X Officer (gi below)	10%	o Owner er (specify w)
(Street) SYRACUSE (City)	NY (State)	13203 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form filed Form filed Person	nt/Group Filing (Chec by One Reporting P by More than One R	erson
		Table I - Non-Deriv	ative Securities Acquired, Disposed of, or Bene	ficially Owned		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock, \$0.01 par value	01/15/2022		A		50,000	A ⁽¹⁾	\$0 ⁽¹⁾	261,963	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number ion of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The shares were granted to the Reporting Person pursuant to the Issuer's 2016 Stock Incentive Plan, as amended.

Remarks:

/s/ Richard Cross

** Signature of Reporting Person Date

01/18/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.