SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>HYATT LAWRENCE E</u>			2. Date of Event Requiring Staten (Month/Day/Year 06/08/2017	nent	3. Issuer Name and Ticker or Trading Symbol <u>CARROLS RESTAURANT GROUP, INC.</u> [TAST]							
(Last) 968 JAMES S	(Last) (First) (Middle) 968 JAMES STREET					ationship of Reporting Perso < all applicable) Director	on(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)			
(Street) SYRACUSE	NY (State)	13203 (Zip)				Officer (give title below)	Other (spe below)	cify	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 			
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securi Underlying Derivative Securi			or Exe	rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratior Date	n Title	9	Amount or Number of Shares	Deriva	tive	or Indirect (I) (Instr. 5)		
Table II - Derivative Security (Instr. 4) 1. Title of Derivative Security (Instr. 4) 2. Date Exercise Expiration Date (Month/Day/Year) Date			Perivative s, warra isable and te /ear) Expiration	e Sec nts, c 3. T Unc	ially Owned (Instr. 4) urities Beneficially options, convertible itle and Amount of Securi lerlying Derivative Securi	Form: Direct (D) or Indirect (I) (Instr. 5) Owned e securities) ities ity (Instr. 4) Amount or Number of		(Instr. 5) 5. rsion Form: f f f or Indirect Form: Direct (D) tive f or Indirect				

Remarks:

No securities are beneficially owned.

<u>/s/ Lawrence E. Hyatt</u>

06/16/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.